



Chief Operating Officer Center ("COO Center") Supported by Investment Business Advisors, LLC

Providing "Best Practice" Advice to Alternative Firm CEOs, CIOs, COOs, CFOs and Functional Heads

Governance

Overall Policies and Principles

FIRM

- **Board/Advisory Board**
- **Management Committee**
- **Investment Committee**
- **Investment Functions:**
 - **Portfolio Management**
 - **Research**
 - **Risk Management**
 - **Trading**
- **Supporting Business Functions:**
 - **Legal**
 - **Compliance**
 - **Technology**
 - **Operations**
 - **Marketing**
 - **Client Service**
 - **Finance**
 - **Human Resources**
 - **Communications**
 - **3rd Party Service Providers**

FUND

- **Fund Terms**
- **Outside Directors**
- **Limited Partners Committee**
- **Fund Operations**
 - **Administrator/Custodian**
 - **Investors**
 - **Manager**
- **"Alignment of Interests"**
 - **Investors**
 - **Firm Management**
 - **Investment Professionals**
 - **Supporting Business Professionals**
 - **Sec: www.alignmentofinterests.org**

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